

## Independent Provider Certification Requirements Proposed and Existing

Proposed Rule 5123-2-09	Existing Rule 5123:2-2-01
(C)(1)(a): Have valid birth certificate	
(C)(1)(b): Be at least 18 years of age	(C)(1)(a): Be at least 18 years of age
(C)(1)(c): Have valid Social Security card and valid government-issued photo ID	(C)(1)(b): Have valid Social Security number and state of Ohio identification, valid driver's license, or other government-issued photo ID
(C)(1)(d): Hold high school diploma or GED	(C)(1)(c): Hold high school diploma or GED
(C)(1)(e): Be able to read, write, and understand English	(C)(1)(d): Be able to read, write, and understand English
(C)(1)(f): Undergo background investigation and consent to be enrolled in Rapback	(C)(1)(i): Undergo background investigation and consent to be enrolled in Rapback
(C)(1)(g): Comply with DODD rules	(C)(1)(m): Comply with DODD rules
(C)(1)(h): Participate in DODD data collection initiatives	(C)(1)(l): Participate in DODD data collection initiatives
(C)(2): Hold Medicaid provider agreement (if providing Home and Community-Based Services)	(G)(4): Hold Medicaid provider agreement (if providing Home and Community-Based Services)
(D)(1)/Appendix A: Hold first aid and CPR certification	(C)(1)(e) & (C)(1)(f): Hold first aid and CPR certification
(D)(1)/Appendix A: Complete prior to application for initial certification: <ul style="list-style-type: none"> <li>• Initial Training for Independent Providers provided by DODD or entity using DODD-provided curriculum in:               <ul style="list-style-type: none"> <li>○ overview of serving individuals including implementation of individual service plans and service outcomes</li> <li>○ role of independent provider including National Alliance for Direct Support Professionals Code of Ethics</li> <li>○ rights of individuals</li> <li>○ person-centered planning and provision of services</li> <li>○ facilitating community participation and integration for individuals served</li> <li>○ universal precautions for infection control</li> <li>○ service documentation and billing for services</li> <li>○ rule 5123-2-06 (Behavioral Support Strategies)</li> <li>○ rule 5123-17-02 including Health &amp; Welfare Alerts issued by DODD</li> </ul> </li> <li>• DODD-provided training in empathy-based care</li> </ul>	(C)(2): Complete prior to application for initial certification: <ul style="list-style-type: none"> <li>• DODD-provided Orientation for Independent Providers</li> <li>• 8 hours of training that includes:               <ul style="list-style-type: none"> <li>○ overview of serving individuals including implementation of individual service plans</li> <li>○ independent provider's role and responsibility with regard to services including person-centered planning, community integration, self-determination, and self-advocacy</li> <li>○ universal precautions for infection control</li> <li>○ rights of individuals</li> <li>○ requirements of rule 5123-17-02 including Health &amp; Welfare Alerts issued by DODD</li> </ul> </li> </ul>

Proposed Rule 5123-2-09	Existing Rule 5123:2-2-01
<p>(D)(1)/Appendix A: Complete on annual basis:</p> <ul style="list-style-type: none"> <li>• 2 hours of training provided by DODD or entity using DODD-provided curriculum in topics relevant to independent provider's duties including: <ul style="list-style-type: none"> <li>○ empathy-based care</li> <li>○ National Alliance for Direct Support Professionals Code of Ethics</li> <li>○ rights of individuals</li> <li>○ rule 5123-17-02 including review of Health &amp; Welfare Alerts issued by DODD since previous year's training</li> </ul> </li> <li>• 6 hours of training in topics selected by independent provider from following list relevant to services provided and individuals served: <ul style="list-style-type: none"> <li>○ components of quality care</li> <li>○ health and safety</li> <li>○ positive behavioral support</li> </ul> </li> </ul>	<p>(C)(4): Complete training on annual basis in:</p> <ul style="list-style-type: none"> <li>• independent provider's role and responsibilities including person-centered planning, community integration, self-determination, and self-advocacy</li> <li>• rights of individuals</li> <li>• rule 5123-17-02 including review of Health &amp; Welfare Alerts issued by DODD since previous year's training</li> </ul>
<p>(D)(2):Comply with service-specific training required for Home and Community-Based Services provided</p>	<p>(C)(1)(m): Comply with rules for specific Home and Community-Based Services provided</p>
<p>(D)(3): After selected by individual to provide services, meet with county board to discuss independent provider's responsibilities and requirements in individual service plan regarding what is important to the individual and what is important for the individual, service documentation, and billing for services</p>	<p>(C)(1)(k): After selected by individual to provide services, meet with county board to discuss independent provider's responsibilities and requirements in individual service plan (C)(3): Complete training within 60 days of first providing services in service documentation and billing for services</p>
<p>(E)(1)(a): Provide services only to individuals whose needs he or she can meet</p>	<p>(F)(1)(a): Provide services only to individuals whose needs he or she can meet</p>
<p>(E)(1)(b): Communicate effectively with each individual served</p>	<p>(F)(1)(c): Communicate effectively with each individual served</p>
<p>(E)(1)(c): Be knowledgeable in individual service plan for each individual served prior to providing services</p>	<p>(F)(1)(d): Be knowledgeable in individual service plan for each individual served prior to providing services</p>
<p>(E)(1)(d): Implement services in accordance with individual service plan and in person-centered manner</p>	<p>(F)(1)(b) &amp; (F)(1)(e): Implement services in accordance with individual service plan and in person-centered manner</p>
<p>(E)(1)(e): Comply with rule 5123-2-06 (Behavioral Support Strategies)</p>	<p>(F)(1)(g): Comply with rule 5123-2-06 (Behavioral Support Strategies)</p>
<p>(E)(1)(f): Take all reasonable steps to prevent major unusual incidents and unusual incidents</p>	<p>(F)(1)(f): Take all reasonable steps to prevent major unusual incidents and unusual incidents</p>
<p>(E)(2): An independent provider shall not:</p> <ul style="list-style-type: none"> <li>○ provide services to his or her minor child or spouse</li> <li>○ provide services to individual for whom independent provider serves as guardian unless related</li> <li>○ engage in sexual conduct or have sexual contact with individual served</li> <li>○ administer medication or perform health-related activities unless he or she meets applicable requirements</li> <li>○ use or be under the influence of alcohol, illegal drugs, illegal chemical substances, or controlled substances that may adversely affect ability to furnish services</li> </ul>	<p>(F)(2): An independent provider shall not:</p> <ul style="list-style-type: none"> <li>○ provide services to his/her minor child or spouse</li> <li>○ engage in sexual conduct or have sexual contact with individual served</li> <li>○ administer medication or perform health-related activities unless he or she meets applicable requirements</li> <li>○ use or be under the influence of alcohol, illegal drugs, illegal chemical substances, or controlled substances that may adversely affect ability to furnish services</li> </ul>

<b>Proposed Rule 5123-2-09</b>	<b>Existing Rule 5123:2-2-01</b>
(F)(1)(a): Provide and maintain with DODD, current physical address, telephone number, and email address	(C)(1)(j): Provide and maintain with DODD, current U.S. mail and email addresses
(F)(1)(b): Notify DODD within 14 days if he or she is charged with, is convicted of, pleads guilty to, or is found eligible for intervention in lieu of conviction for disqualifying offense	(C)(1)(h): Notify DODD within 14 days if he or she is charged with, is convicted of, or pleads guilty to disqualifying offense
(F)(1)(c): Notify DODD within 14 days if he or she is/becomes related party of person or entity for which DODD denied or revoked certification	(C)(1)(g): Notify DODD within 14 days if he or she is/becomes related party of person or entity for which DODD denied or revoked certification
(F)(1)(d): Notify DODD within 14 days if he or she has had professional registration, certification, or license (other than driver's license) suspended or revoked	
(F)(2): Upon realization that he or she may be unable to continue to serve individual, engage individual and Service and Support Administrator to consider alternative strategies	
(F)(3): Notify in writing, individual and Service and Support Administrator that independent provider intends to cease providing services no less than 30 days prior to termination of services and convey documents and records to Service and Support Administrator as requested	(F)(1)(i): Notify in writing, individual and Service and Support Administrator that independent provider intends to cease providing services no less than 30 days prior to termination of services